



Image

1762

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Application No. : 10/038,439
Applicants : BUSH et al.
Filing Date : JANUARY 7, 2002
Title : METHOD TO ROUGH SIZE COATED
COMPONENTS FOR EASY ASSEMBLY

Art Unit : 1762
Examiner : BAREFORD, K.A.

Docket No. : 9997A

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

In accordance with 37 C.F.R. § 1.8, the undersigned hereby certifies that the following correspondence:

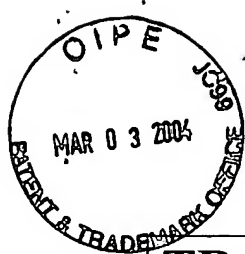
Fee Transmittal
Information Disclosure Statement
PTO Citation Sheet

is being mailed with the United States Postal Service via first class mail to the USPTO at the above address on this date of **March 1, 2004**.

Respectfully submitted,

Christine M. Szwerc
Christine M. Szwerc
Reg. No. 43,177

Carrier Corporation
Carrier Parkway
P.O. Box 4800
Syracuse, NY 13221



PATENT

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

**TRANSMITTAL
FORM**

| | |
|------------------|----------------|
| Application. No. | 10/038,439 |
| Filing Date | 01/07/2002 |
| Inventor(s) | Bush et al. |
| Art Unit | 1762 |
| Examiner Name | Bareford, K.A. |
| Docket No. | 9997A |

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THIS APPLICATION CONTAINS:

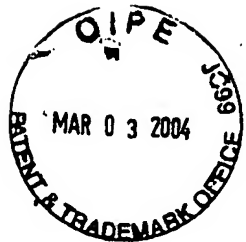
| No. | Check Box | Element | Total Pages |
|-----|-----------|--|-------------|
| 1. | X | Fee Transmittal (original and copy) | 2 |
| 2. | | Amendment | |
| 3. | | Extension of Time | |
| 4. | | Declaration (Newly Executed or Copy) | |
| 5. | | Response to Missing Parts/Incomplete Application | |
| 6. | | Assignment Papers (Cover Sheet and Document(s)) | |
| 7. | X | Information Disclosure Statement | 2 |
| 8. | X | Copies of IDS Citations (3 references) | 37 |
| 9. | X | Return Receipt Postcard | 1 |
| 10. | | Certified Copy of Priority Documents | |
| 11. | | Petition | |
| 12. | | Terminal Disclaimer | |
| 13. | | Status Letter | |
| 14. | | Other | |

CORRESPONDENCE ADDRESS

Please use the Correspondence Address associated with **Customer No. 30956**.

SUBMITTED BY

| | | | |
|---|----------------------------|-----------|----------------------------------|
| Signature | <i>Christine M. Szwerc</i> | Date | March 1, 2004 |
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| Carrier Corporation Carrier Parkway P.O. Box 4800 Syracuse, NY, 13221, US | | | |



PATENT

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

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| FEE TRANSMITTAL FOR FY 2004 | Application. No. | 10/038,439 |
| | Filing Date | 01/07/2002 |
| | Inventor(s) | Bush et al. |
| | Art Unit | 1762 |
| | Examiner Name | Bareford, K.A. |
| TOTAL PAYMENT \$ 180.00 | Docket No. | 9997A |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

METHOD OF PAYMENT

The Director is authorized to:

charge the fee(s) indicated below;
credit any overpayments; and
charge any additional fee(s) or any underpayment(s) as maybe required for this filing;

to the following deposit account:

DEPOSIT ACCOUNT: 03-0835
DEPOSIT ACCOUNT NAME: Carrier Corporation.

FEE CALCULATION

1. \$180.00 IDS Submission under 37 C.F.R. § 1.17(p)

TOTAL PAYMENT \$180.00

SUBMITTED BY:

Christine M. Szwerc
Christine M. Szwerc
Attorney for Applicants
Reg. No. 43,177
Date: March 1, 2004

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Applicants : BUSH et al.
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Title : METHOD TO ROUGH SIZE COATED COMPONENTS
FOR EASY ASSEMBLY

Art Unit : 1762
Examiner : BAREFORD, K.A.

Docket No. : 9997A

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants hereby file an Information Disclosure Statement in accordance with 37 C.F.R. § 1.97 in the above-referenced application for consideration before the US PTO. By the filing of this Information Disclosure Statement, Applicants are not representing that a search has been made in accordance with 37 C.F.R. § 1.97(g). The information cited herein shall not be construed to be an admission that the information is, or is considered to be, material to patentability as defined in § 1.56. 37 C.F.R. § 1.97(h).

____ 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed by the Applicants:

Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;

Before the mailing of a first Office action on the merits; or

Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed by the Applicants after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one a statement specified 37 C.F.R. § 1.97(e) or the fee set forth in § 1.17(p).

____ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more

than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

____ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

X The \$180.00 fee set forth in §1.17(p).

____ 37 C.F.R. § 1.97(d). This Information Disclosure Statement is being filed by the Applicants after the period specified in 37 C.F.R. § 1.97(c), and on or before payment of the issue fee, and is accompanied by the statement specified 37 C.F.R. § 1.97(e) and the fee set forth in §1.17(p).

____ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

____ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

The ____ fee set forth in § 1.17(p) is enclosed herewith.

Any questions pertaining to the submission of this Information Disclosure Statement or the prosecution of this application should be directed to the undersigned at (860) 674-3457.

Respectfully submitted,

By: Christine M. Szwerc
Christine M. Szwerc
Attorney for Applicants
Reg. No. 43,177

Date: March 1, 2004

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PATENT AND TRADEMARK OFFICE

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Application. No. | 10/038,439 |
| | Filing Date | 01/07/2002 |
| | Inventor(s) | Bush et al. |
| | Art Unit | 1762 |
| | Examiner Name | Bareford, K.A. |
| Sheet 1 of 1 | Docket No. | 9997A |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

US PATENT DOCUMENTS

| Examiner Initials | Document Number Number-Kind Code | Publication date MM-DD-YYYY | Name of Patentee of Applicant |
|----------------------|-------------------------------------|--------------------------------|-------------------------------|
| | US 3,071,314 | 01/01/1963 | Flanagan |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Foreign Patent Document Country Code-Number-Kind Code | Publication date MM-DD-YYYY | Name of Patentee of Applicant |
|----------------------|--|--------------------------------|---|
| | WO 02/02949 A1 | 01-10-2002 | Carrier Corporation |
| | EP 0 378 009 A2 | 07-18-1990 | Ishikawajima-Harima Jukogyo Kabushiki Kaisha |
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| Examiner Signature | | Date Considered | |
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